

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

**Daniel BREEN, et al.**

Group Art Unit: **3691**

Serial No.: **10/820,876**

Examiner: **SUBRAMANIAN, Narayanswamy**

Filed: **April 9, 2004**

For: **SYSTEM AND METHOD FOR INTEGRATING A CONVERTIBLE SECURITY  
WITH A CALL SPREAD**

**FILED ELECTRONICALLY**

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Alexandria, VA 22314

**RESPONSE TO FINAL OFFICE ACTION**

Dear Sir:

In response to the Final Office Action mailed April 30, 2008, the undersigned representative respectfully requests reconsideration of the rejections of record in light of the following remarks:

**Status of Claims** are reflected in the Listing of Claims that begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.